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| Substitute Form PTO-1449<br>(Modified)   | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Docket No.<br>10284-029001 | Application No.<br>09/900,288 |
| <b>Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary)<br>(37 CFR §1.962) |  | Applicant<br><b>PAUL F. GOETINCK</b>  |                               |
|  |  | Filing Date<br>July 6, 2001           | Group Art Unit<br>1645        |

**U.S. Patent Documents**

| Examiner Initial | Desig. ID | Patent Number | Issue Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------|---------------|------------|----------|-------|----------|----------------------------|
|                  | AA        |               |            |          |       |          |                            |
|                  | AB        |               |            |          |       |          |                            |
|                  | AC        |               |            |          |       |          |                            |
|                  | AD        |               |            |          |       |          |                            |
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|                  | AF        |               |            |          |       |          |                            |
|                  | AG        |               |            |          |       |          |                            |
|                  | AH        |               |            |          |       |          |                            |
|                  | AI        |               |            |          |       |          |                            |
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**Foreign Patent Documents or Published Foreign Patent Applications**

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |    |
|------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
|                  |           |                 |                  |                          |       |          | Yes         | No |
|                  | AL        |                 |                  |                          |       |          |             |    |
|                  | AM        |                 |                  |                          |       |          |             |    |
|                  | AN        |                 |                  |                          |       |          |             |    |
|                  | AO        |                 |                  |                          |       |          |             |    |
|                  | AP        |                 |                  |                          |       |          |             |    |

**Other Documents (include Author, Title, Date, and Place of Publication)**

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| CY               | AR        | Romano et al., "Latest developments in gene transfer technology: Achievements, perspectives, .....", Jan. 2000, Stem Cells, vol.18;19-39 |
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|                  | AT        |  |

|  |                            |
|--|----------------------------|
| Examiner Signature<br><i>Christopher H. Ye</i>   | Date Considered<br>10-2-02 |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                            |